Firm

Date

Individual name Signature S. NEIL FELTHAM

addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

FEB 1 3 2000 case type a plus sign (+) in		is are requ	ORIGINA  +  U.S. Patent and Tra	PTO/SB/21(08-00) Approved for use through 10/31/2002. OMB 0651-0031 addemark Office: U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number.			
TRANSMITTAL			cation Number	09/938,956			
			Date	AUGUST 24, 2001			
FORM (to be used for all correspondence after initial filing)		First	Named Inventor	DICOSIMO ET AL.			
		Group Art Unit		1645			
		Exam	iner Name	UNKNOWN			
Total Number of Pages in This Submission		Attorn	ey Docket Number	CL1809 US NA			
ENCLOSURES (check all that apply)							
☐ Fee Transmittal Form	Assignment Papers (for an Application)			After Allowance Communication to Group			
Fee Attached	☐ Drawing(s) 8 sheets			Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
☐ After Final ☐ Affidavits/declaration(s)	Petition			Proprietary Information			
Extension of Time Request	Petition to Convert to a Provisional Application			Status Letter			
Express Abandonment Request	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):			
☐ Information Disclosure Statement	Terminal Disclaimer			COPY OF NOTICE OF			
Certified Copy of Priority Document(s)	Request for Refund			INCOMPLETE REPLY			
Response to Missing Parts/ Incomplete Application	CD, Number of CD(s)						
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remark	ks					
SIGNATURE OF ADDITIONAL ATTORNEY OR AGENT							

Typed or printed name

MARY BETH PITCHER

Signature

Date

Date

June

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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FEE TRANSMITTALE		Complete if Known		
FEE IRANSI	AII 18 HATE	Application Number	09/938,956	
for FY 20	0.01	Filing Date	August 24, 2001	
Patent fees are subject to annual revision.	FEB 1 3 2002 6	First Named Inventor	DiCosımo et al.	
	P	Examiner Name	Unknown	
	TELL CO	Group / Art Unit	1645	
TOTAL AMOUNT OF PAYMENT	(\$) 400 Anerica	Attorney Docket No.	CL1809 US NA	

		ney boci					
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to	La	3. ADDITIONAL FEES Large Small Entity Entity		all ity			
Deposit Account 04-1928		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number 04-1920			205	65	Surcharge - late filing fee or oath		
Deposit			227	25	Surcharge - late provisional filing fee or cover sheet.		
Account E. I. du Pont de Nemours and Company			139	130	Non-English specification		
Name			147	2,520	For filing a request for reexamination		
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17			112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status.  See 37 CFR 1.27			113	1,840*	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:			215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other Order			216	200	Extension for reply within second month	400	
			217	460	Extension for reply within third month		
FEE CALCULATION			218	720	Extension for reply within fourth month		
BASIC FILING FEE	128	1,960	228	980	Extension for reply within fifth month		
Large Entity Small Entity	119	320	219	160	Notice of Appeal		
Fee Fee Fee Fee Description	120	320	220	160	Filing a brief in support of an appeal		
Code (\$) Code (\$) Fee Paid	121	280	221	140	Request for oral hearing		
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
107 510 207 255 Plant filing fee	140	110	240	55	Petition to revive – unavoidable		
108 740 208 370 Reissue filing fee	141	1,280	241	640	Petition to revive – unintentional		
114 160 214 80 Provisional filling fee	142	1,280	242	640	Utility issue fee (or reissue)		
114 199 214 99 119119191919	143	460	243	230	Design issue fee		
SUBTOTAL (1) (\$) 0	144	620	244	310	Plant issue fee		
A EVERY OF ALL PERO	122	130	122	130	Petitions to the Commissioner		
2. EXTRA CLAIM FEES  Extra Fee from Fee	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Claims below Paid  Total Claims -20 = 0 X 18 = 0	126	180	126	180	Submission of Information Disclosure Stmt		
Independent Clams -3 = 0 X 84 = 0	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Multiple V 380 - D	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Dependent ^	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
Fee Code (\$) Code (\$)	179	740	279	375	Request for Continued Examination (RCE)		
103 18 203 9 Claims in excess of 20	169	900	169	900	Request for expedited examination		
102 84 202 42 Independent claims in excess of 3		-			of a design application		
104 280 204 140 Multiple dependent claim, if not paid	Other	fee (spec	ify)				
109 84 209 42 ** Reissue independent claims over original patent		Other fee (specify)					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	*Red	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 400					
SUBTOTAL (2) (\$) 0							
**or number previously paid, if greater, For Reissues, see above	l						

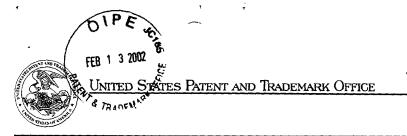
SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	S. NEIL FELTHAM	Registration No. Attorney/Agent)	36, 506	Telephone	302-992-6460		
Signature	Maj			Date	1/31/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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02/20/2002 CV0111

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COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USDIG COV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/938,956

08/24/2001

Deana J. DiCosimo

CL1809 US NA

CONFIRMATION NO. 4497
FORMALITIES LETTER

23906
E I DU PONT DE NEMOURS AND COMPANY
LEGAL PATENT RECORDS CENTER
BARLEY MILL PLAZA 25/1128
4417 LANCASTER PIKE
WILMINGTON, DE 19805

Date Mailed: 01/22/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/26/2001 to the Notice to File Missing Parts (Notice) mailed 10/19/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of DICOSIMO ET AL.

CASE NO.: CL1809 US NA

APPLICATION NO.: 09/938,956

CONFIRMATION NO.:

GROUP ART UNIT: 1645

EXAMINER: UNKNOWN

FILED: AUGUST 24, 2001

FOR: PRODUCTION OF CYLIC TERPENOIDS

### TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

Attention: Drawing Review Branch

Sir:

Transmitted herewith are formal drawings to be substituted for the informal drawings now on file in the above-referenced application.

Formal Drawings: 8 Figures on 8 Sheets

Respectfully submitted,

ATTORNEY FOR APPLICANTS

REGISTRATION NO.

TELEPHONE: (302)

FACSIMILE: (302)